

1 Prerequisite Definitions

Alphabets Σ , and Γ are finite nonempty sets of symbols.

A *string* is a finite sequence of zero or more symbols from an alphabet.

Σ^* is the set of all strings over alphabet Σ .

ε is the empty string and cannot be in Σ .

A *problem* is a mapping from strings to strings.

A *decision problem* is a problem whose output is yes/no (or often accept/reject).

A decision problem be thought of as the set of all strings for which the function outputs “accept”.

A *language* is a set of strings, so any set $S \subseteq \Sigma^*$ is a language, even \emptyset . Thus, decision problems are equivalent to languages.

2 Regular Languages

$L(M)$ is the language accepted by machine M .

A deterministic finite automaton is a 5-tuple $M = (Q, \Sigma, \delta, q_0, F)$, where

- Q is a finite set of states,
- Σ is an alphabet,
- $\delta : Q \times \Sigma \rightarrow Q$ is a transition function describing its transitions and labels,
- $q_0 \in Q$ is the starting state, and
- $F \subseteq Q$ is a set of accepting states.

If δ is not fully specified, we assume an implicit transition to an *error state*.

A deterministic finite automaton M accepts input string $w = w_1w_2\dots w_n$ ($w_i \in \Sigma$) if there exists a sequence of states $r_0, r_1, r_2, \dots, r_n$ ($r_i \in Q$) such that

- $r_0 = q_0$,

- for all $i \in \{1, \dots, n\}$, $r_i = \delta(r_{i-1}, w_i)$, and
- $r_n \in F$.

$r_0, r_1, r_2, \dots, r_n$ are the sequence of states visited during the machine’s computation.

A non-deterministic finite automaton is a 5-tuple $M = (Q, \Sigma, \delta, q_0, F)$, where

- Q, Σ, q_0, F are the same as a deterministic finite automaton’s, and
- $\delta : Q \times (\Sigma \cup \{\varepsilon\}) \rightarrow 2^Q$.

A non-deterministic finite automaton accepts the string $w = w_1w_2\dots w_n$ ($w_i \in \Sigma$) if there exist a string $y = y_1y_2\dots y_m$ ($y_i \in \Sigma \cup \{\varepsilon\}$) and a sequence $r = r_0, r_1, \dots, r_n$ ($r_i \in Q$) such that

- $w = y_1 \circ y_2 \circ \dots \circ y_m$ (i.e. y is w with some ε inserted),
- $r_0 = q_0$,
- for all $i = \{1, \dots, m\}$, $r_i \in \delta(r_{i-1}, q_i)$, and
- $r_m \in F$.

The ε -closure for any set $S \subseteq Q$ is denoted $E(S)$, which is the set of all states in Q that can be reachable by following any number of ε -transition.

Theorem 1. A non-deterministic finite automaton can be converted to an equivalent deterministic finite automaton.

A *regular language* is any language accepted by some finite automaton. The set of all regular languages is called the *class of regular languages*.

Theorem 2. Regular languages are closed under

- *Concatenation* $L_1 \circ L_2 = \{x \circ y : x \in L_1 \text{ and } y \in L_2\}$. Note: $L_1 \not\subseteq L_1 \circ L_2$.

- *Union* $L_1 \cup L_2 = \{x : x \in L_1 \text{ or } x \in L_2\}$.
- *Intersection* $L_1 \cap L_2 = \{x : x \in L_1 \text{ and } x \in L_2\}$.
- *Complement* $\bar{L} = \Sigma^* \setminus L = \{x : x \notin L\}$.
- *Star* $L^* = \{x_1 \circ x_2 \circ \dots \circ x_k : x_i \in L \text{ and } k \geq 0\}$.

R is a regular expression if R is

- $a \in \Sigma$,
- ε ,
- \emptyset ,
- $R_1 \cup R_2$, or $R_1 | R_2$,
- $R_1 \circ R_2$, or $R_1 R_2$,
- R_1^* ,
- Shorthand: $\Sigma = (a_1 | a_2 | \dots | a_k)$, $a_i \in \Sigma$,

where R_i is a regular expression.

Identities of Regular Languages

- $\emptyset \cup R = R \cup \emptyset = R$
- $\emptyset \circ R = R \circ \emptyset = \emptyset$
- $\varepsilon \circ R = R \circ \varepsilon = R$
- $\varepsilon^* = \varepsilon$
- $\emptyset^* = \emptyset$
- $\emptyset \cup R \circ R^* = R \circ R^* \cup \varepsilon = R^*$
- $(a|b)^* = (a^*|b^*)^* = (a^*b^*)^* = (a^*b)^* = (a|b^*)^* = a^*(ba^*)^* = b^*(ab^*)^*$

Theorem 3. Languages accepted by DFAs = languages accepted by NFAs = regular languages

Theorem 4. If L is a finite language, L is regular.

If a computation path of any finite automaton is longer than the number of states it has, there must be a cycle in that computation path.

Lemma 1 (Pumping Lemma). Every regular language satisfies the pumping condition.

Pumping condition: There exists an integer p such that for every string $w \in L$, with $|w| \geq p$, there exist strings $x, y, z \in \Sigma^*$ with $w = xyz$, $y \neq \varepsilon$, $|xy| \leq p$ such that for all $i \geq 0$, $xy^iz \in L$.

Negation of pumping condition: For all integers p , there exists a string $w \in L$, with $|w| \geq p$, for all $x, y, z \in \Sigma^*$ with $w = xyz$, $y \neq \varepsilon$, $|xy| \leq p$, there exists $i \geq 0$, $i \neq 1$ such that $xy^iz \notin L$.

Limitations of finite automata:

- Only read input once, left to right.
- Only finite memory.

3 Context-Free Languages

A pushdown automaton is a 6-tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$, where

- Q is a finite set of states,
- Σ is its input alphabet,
- Γ is its stack alphabet,
- $\delta : Q \times (\Sigma \cup \{\varepsilon\}) \times (\Gamma \cup \{\varepsilon\}) \rightarrow 2^{Q \times (\Gamma \cup \{\varepsilon\})}$ is its transition function,
- $q_0 \in Q$ is its starting state, and
- $F \subseteq Q$ is a finite set of accepting states.

Labels: $a, b \rightarrow c$: if input symbol is a , and top of stack is b , pop it and push c . In other words, input symbol read, stack symbol popped \rightarrow stack symbol pushed, e.g. $0, \varepsilon \rightarrow \$$.

Suppose u, v, w are strings of variables and terminals, and there is a rule $A \rightarrow w$. From the string uAv , we can obtain uwv . We write $uAv \rightarrow uwv$, and say uAv yields uwv .

If $u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_k$, then $u_1 \rightarrow^* u_k$, or u_1 derives u_k . There must be a finite number of arrows between u_1 and u_k .

Given a grammar G , the language derived by the grammar is $L(G) = \{w \in$

$\Sigma^* : S \rightarrow^* w$ and S is the start variable}

Context-free grammar: the lhs of rules is a single variable, rhs is any string of variables and terminals. A *context-free language* is one that can be derived from a context-free grammar. An example context-free grammar is $G = (V, \Sigma, R, \langle \text{EXPR} \rangle)$, where $V = \{ \langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle \}$, $\Sigma = \{ a, +, \times, (,) \}$, and $R = \{ \langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle | \langle \text{TERM} \rangle, \langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle | \langle \text{FACTOR} \rangle, \langle \text{FACTOR} \rangle \rightarrow \langle \langle \text{EXPR} \rangle \rangle \}$.

A *left-most derivation* is a sequence $S \rightarrow u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_k \rightarrow w$ where each step applies a rule to the left-most variable. A grammar is *ambiguous* when it has multiple left-most derivations for the same string.

Theorem 5. A language L is recognized by a pushdown automaton iff L is described by a context-free grammar.

Theorem 6. Context-free languages are closed under union, concatenation, star.

4 Recognizable Languages

Differences from previous models

- The input is written on tape.
- It can write to the tape.
- It can move left and right on tape.
- It halts immediately when it reaches an accepting or rejecting state. The rejecting state must exist but may not be shown.

A deterministic Turing machine is a 7-tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where

- Q is its finite non-empty set of states,

- Σ is its input alphabet,
- Γ is its tape alphabet ($\Sigma \subset \Gamma$ and $_ \in \Gamma \setminus \Sigma$),
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ is its transition function,
- $q_0 \in Q$ is its starting state,
- $q_{\text{accept}} \in Q$ is its accepting state, and
- $q_{\text{reject}} \in Q$ is its rejecting state ($q_{\text{reject}} \neq q_{\text{accept}}$).

Labels: $a \rightarrow b, R$: if tape symbol is a , write b and move head right. $a \rightarrow R$: if tape symbol is a , move head right. $a, b, c \rightarrow R$: if tape symbol is a, b , or c , move head right.

On input x , a Turing machine can (1) accept, (2) reject, or (3) run in an infinite loop.

The language *recognized* by a Turing machine M is $L(M) = \{x : \text{on input } x, M \text{ halts in } q_{\text{accept}}\}$. A language is *recognizable* if there exists a Turing machine which recognizes it.

Regular languages \subseteq context-free languages \subseteq decidable languages \subseteq recognizable languages

A *configuration* is a way to describe the entire state of the Turing machine. It is a string aqb where $a \in \Gamma^*, q \in Q, b \in \Gamma^*$, which indicates that q is the current state of the Turing machine, the tape content currently is ab and its head is currently pointing at the first symbol of b . Any Turing machine halts if its configuration is of the form $aq_{\text{accept}}b$, or $aq_{\text{reject}}b$ for any ab . $\text{Config}(i)$ uniquely determines $\text{Config}(i+1)$.

Theorem 7. Every k -tape Turing machine has an equivalent single tape Turing machine.

If the alphabet of the multitape Tur-

ing machine is Γ , we can make the single tape Turing machine's alphabet $(\Gamma \cup \{\#\}) \times \{\text{normal}, \text{bold}\}$.

A non-deterministic Turing machine is a 7-tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where the only difference from a deterministic Turing machine is the transition function $\delta : Q \times \Gamma \rightarrow 2^{Q \times \Gamma \times \{L, R\}}$.

A non-deterministic Turing machine accepts its input iff some node in the configuration tree has q_{accept} . It does not accept its input iff the configuration tree grows forever (infinite loop) or no node in the tree has q_{accept} .

Acceptance of a non-deterministic Turing machine: input w is accepted if there exist configurations c_0, c_1, \dots, c_k where

- $c_0 = q_{\text{start}}w$, and
- $c_i \Rightarrow c_{i+1}$ (c_{i+1} is a possible configuration from c_i , following the transition function δ).

The outcomes could be

- w is accepted, i.e. there exists a node in the tree which is an accepting configuration,
- w is explicitly rejected, i.e. the tree is finite but no node is an accepting configuration (all leaves are rejecting configurations), or
- the non-deterministic Turing machine runs forever on w , i.e. the tree is infinite but no node is an accepting configuration (there might be finite branches terminating in a rejecting configuration in the tree).

A Turing machine is a *decider* if it halts on all inputs, i.e. it either rejects or accepts all inputs.

Theorem 8. Every non-deterministic

Turing machine has an equivalent deterministic Turing machine. If that non-deterministic Turing machine is a decider, there is an equivalent deterministic Turing machine decider.

Theorem 9. Recognizable languages are closed under union, intersection, concatenation, star.

Implementation level description of a multitape Turing machine for $L = \{x\#x : x \in \{0, 1\}^*\}$:

- Scan the first head to the right until it reads a $\#$. Move right. The second head is still at the start of the second tape.
- Repeatedly read symbol from the first tape (reject if the symbol is not 0 or 1), write it to the second tape, and move both heads right, until seeing a blank on the first tape.
- Move the first head left until a $\#$ is under it. Replace the symbol with a blank ($_$).
- Move both heads left until they reach the start of their respective tapes (using the $\$$ sign hack to mark the start of the tape).
- Repeat until seeing a blank on both tapes.
 - If the symbols on the two tapes differ, reject.
 - Otherwise, move both head right.

$\langle O \rangle$ is a string encoding for the object O .

Cardinality of Sets: two sets A and B have the same cardinality if there exists a bijection $f : A \rightarrow B$.

$\mathbb{N} = \{1, 2, 3, \dots\}$ is the set of all natural numbers. A set is *finite* if it has

a bijection to $\{1..n\}$ for some natural number n . A set is *countably infinite* if it has the same cardinality as \mathbb{N} . A set is *countable* or *at most countable* if it is finite or countably infinite.

Lemma 2. Any language L is countable.

Lemma 3. The set of all Turing machines is countable.

Lemma 4. The set \mathcal{B} of all infinite bit-sequences is not countable.

Lemma 5. 2^{Σ^*} is uncountable.

5 Reductions

$A_{TM} = \{\langle M, w \rangle : M \text{ accepts } w\}$ and $HALT_{TM} = \{\langle M, w \rangle : M \text{ halts on input } w\}$ are recognizable but not decidable.

Theorem 10. If L and \bar{L} are recognizable, then L is decidable (and so is \bar{L}).

Lemma 6. $\overline{A_{TM}}$ is unrecognizable.

Proof template for undecidability via Turing reduction: Reduce a problem known to be undecidable to that language L , usually A_{TM} , i.e. $A_{TM} \leq_T L$. Assume a Turing machine decider R for L . Construct S that decides A_{TM} using R .

Runtime of a deterministic Turing machine is a function $f : \mathbb{N} \rightarrow \mathbb{N}$ given by $f(n) = \max_{x \in \Sigma^*, |x|=n} (\text{no. of steps of } M \text{ on input } x)$.

$TIME(t(n)) = \{\text{language } L : \exists \text{ deterministic Turing machine that decides } L \text{ in time } O(t(n))\}$.

$$P = \bigcup_{c \geq 0} TIME(n^c)$$

$$EXP = \bigcup_{k \geq 0} TIME(2^{n^k})$$

Theorem 11 (Time hierarchy theorem). If $f : \mathbb{N} \rightarrow \mathbb{N}$ is reasonable and $f = \Omega(n \log n)$ then $TIME(f(n)) \subset TIME(f(n)^2)$.

Lemma 7. $P \subset EXP$

Runtime of a non-deterministic Turing machine is the height of the configuration tree.

$NTIME(t(n)) = \{\text{language } L : \exists \text{ non-deterministic Turing machine that decides } L \text{ in time } t(n)\}$

$NP = \bigcup_{c > 0} NTIME(n^c)$, i.e. languages for which it is easy to verify membership.

Lemma 8. $P \subseteq NP$

Lemma 9. $NP \subseteq EXP$

Verifier-based definition for $L \in NP$: there exists a deterministic polytime Turing machine V and a constant c such that $L = \{x \in \Sigma^* : \exists y \in \Sigma^*, |y| \leq |x|^c, V \text{ accepts } (x, y)\}$.

A function is *polytime computable* if $f : \Sigma^* \rightarrow \Sigma^*$ if there exists a Turing machine M that has x as input, runs for time $\text{poly}(|x|)$ and halts with $f(x)$ written on the tape.

f is a *polytime reduction* from language A to language B , denoted $A \leq_P B$ if (1) $f(A) \subseteq B$, (2) $f(\bar{A}) \subseteq \bar{B}$, and (3) f is a polytime computable function.

Theorem 12. If $A \leq_P B$ and $B \in P$ then $A \in P$.

A language L is *NP-hard* if $A \leq_P L$ for all $A \in NP$. A language L is *NP-complete* if L is NP-hard and $L \in NP$.

Theorem 13. If $P \neq NP$, then there exists language L such that $L \notin NP$ -complete, $L \notin P$, and $L \in NP$.

Theorem 14. If (1) B is NP-complete, (2) $C \in NP$, and (3) $B \leq_P C$, then C is NP-complete.

CLIQUE is a language whose strings are of the form $\langle G, k \rangle$, where $G = (V, E)$ is a graph and $k \in \mathbb{N}$, for which there exists $U \subseteq V$ with $|U| \geq k$ such that $\{u, v\} \in E$ for all distinct vertices $u, v \in U$.

Theorem 15. *CLIQUE* is NP-complete

Theorem 16. $3SAT \leq_P CLIQUE$

Theorem 17. $3SAT \leq_P MAXCLIQUE$

Reductions from $3SAT$ often involves *gadgets*:

- *Clause gadgets:* for the assignment to pick a true literal in each clause (a clique must pick a vertex from each group)
- *Variable gadget:* force assignment to set each variable either to true or false but not both (a clique cannot pick both x_i and \bar{x}_i).

INDSET is a language whose strings are of the form $\langle H, k \rangle$, where $H = (V, E)$ is a graph and $k \in \mathbb{N}$, for which there exists $U \subseteq V$ with $|U| = k$ such that $\forall u, v \in U, \{u, v\} \notin E$.

VERTEX-COVER is a language whose strings are of the form $\langle H, t \rangle$, where $H = (V, E)$ is a graph and $t \in \mathbb{N}$, for which there exists a set $C \subseteq V$ with $|C| \leq t$ such that $\forall \{u, v\} \in E$, either u, v or both is in C .

Let $G = (V, E)$ be a graph. Then $\bar{G} = (V, \bar{E})$ where $\bar{E} = \{\{u, v\} : \{u, v\} \notin E\}$.

Lemma 10. U is a clique in G iff $\bar{U} = V \setminus U$ is a vertex cover in \bar{G} . This implies G has a clique of size $\geq k$ iff \bar{G} has a vertex cover of size $\leq n - k$, where $|V| = n$.

Lemma 11. $CLIQUE \leq_P VERTEX-COVER$

Lemma 12. $CLIQUE \leq_P INDSET$

Theorem 18. *SAT* is NP-complete via a where

$$C = Q \cup \{\#\} \cup \Gamma$$

$$x_{i,j,s} = \text{true} \Leftrightarrow \text{cell}[i, j] = s$$

$$\Phi_{\text{start}} = x_{1,1,\#} \wedge x_{1,2,q_{\text{start}}} \wedge x_{1,3,w_1} \wedge \dots$$

$$\wedge x_{1,n^k-1,\#} \wedge x_{1,n^k,\#}$$

$$\Phi_{\text{cell}} = \bigwedge_{i,j=1}^{n^k} \left(\bigvee_{s \in C} x_{i,j,s} \wedge \bigwedge_{s,t \in C, 1 \leq i, j \leq n^k} \neg(x_{i,j,s} \wedge x_{i,j,t}) \right)$$

$$\Phi_{\text{moves}} = \bigwedge_{i,j \geq n^k} (\text{window}[i, j] \text{ is valid})$$

$$\Phi_{\text{accept}} = \bigvee_{1 \leq i, j \leq n^k} x_{i,j,q_{\text{accept}}}$$

$coNP = \{\text{language } L : \bar{L} \in NP\}$, i.e. languages for which it is easy to verify non-membership. Machine model for $L \in coNP$ is when $x \in L$, all leaves are accepting configurations; otherwise, when $x \notin L$, there exists one leaf which is a rejecting configuration.

$coNP$ -complete = $\{\text{language } B : B \in coNP, \forall A \in coNP, A \leq_P B\}$.

Theorem 19. *NOSAT* is $coNP$ -complete.

Lemma 13. $L \in NP$ -complete iff $\bar{L} \in coNP$ -complete.

6 Probabilistic Turing Machines

RP , or randomized polynomial time, are the languages L for which there is a *probabilistic* Turing machine that, on input x , runs in $\text{poly}(|x|)$ and when $x \in L$, $\Pr[\text{reaching accept}] \geq \frac{1}{2}$; otherwise, when $x \notin L$, $\Pr[\text{reaching reject}] = 1$.

Second definition for RP: it contains languages L for which there exists a deterministic polytime Turing machine V such that when $x \in L$, for at least half of all y with $|y| \leq \text{poly}(|x|)$, V accepts (x, y) ; when $x \notin L$, for all y with $|y| \leq \text{poly}(|x|)$, V rejects (x, y) .

Contrast with NP , where $\forall x \in L$, $\Pr[\text{reaching accept}] > 0$, $\forall x \notin L$, $\Pr[\text{reaching reject}] = 1$.

Theorem 20. $RP \subseteq NP$

coRP: $\forall x \in L$, $\Pr[\text{reaching accept}] = 1$, $\forall x \notin L$, $\Pr[\text{reaching reject}] \geq \frac{1}{2}$.

coNP: $\forall x \in L$, $\Pr[\text{reaching accept}] = 1$, $\forall x \notin L$, $\Pr[\text{reaching reject}] > 0$.

BPP, or bounded error probabilistic polynomial time: $\forall x \in L$, $\Pr[\text{reaching accept}] \geq \frac{2}{3}$, $\forall x \notin L$, $\Pr[\text{reaching reject}] \geq \frac{2}{3}$.

Lemma 14. $RP \subseteq BPP$

Lemma 15. $coRP \subseteq BPP$

Lemma 16. $RP(\frac{1}{2}) = RP(\frac{3}{4})$ (proof via amplification)

Lemma 17. RP is closed under composition.

7 Communication Complexity

Model:

- Finite sets X, Y, Z
- Function $f : X \times Y \rightarrow Z$

- Two player, Alice and Bob
- Decide on a communication protocol beforehand
- Alice has $x \in X$, Bob has $y \in Y$
- Goal: collaboratively compute $f(x, y)$ by sending bits back and forth (must end with both side knowing $f(x, y)$)

The *trivial protocol*:

- Alice sends x to Bob ($\log |X|$)
- Bob computes and sends $z = f(x, y)$ to Alice ($\log |Z|$)

Total: $\log |X| + \log |Z|$ or $\log |Y| + \log |Z|$

A *communication protocol* is a binary tree where each node is labelled by either $a_v : X \rightarrow \{L, R\}$ or $b_v : Y \rightarrow \{L, R\}$ and each leaf is labelled by an element of Z . The depth of the protocol tree is the maximum number of bits sent by the protocol.

The deterministic communication complexity of a function f is

$$D(f) = \min_{\text{tree for } f} \left(\max_{(x,y)} (\text{number of bits}) \right) \\ = \min_{\text{tree for } f} (\text{depth of tree})$$

Lemma 18. $D(EQ_n) \leq n + 1$

A *rectangle* in $X \times Y$ is a set of the form $R = A \times B$ where $A \subseteq X$ and $B \subseteq Y$. R is a rectangle iff $(x, y) \in R \wedge (x', y') \in R \Leftrightarrow (x, y') \in R \wedge (x', y) \in R$

Lemma 19. Let T be a protocol tree, R_v be the set of inputs that causes the protocol to arrive at node v . Then R_v is a rectangle.

A rectangle is called *f-monochromatic* if $f(x, y)$ is the same for all $(x, y) \in R$.

Let $R_i \subset X \times Y$ be a rectangle for $i = 1, \dots, k$. The set $\mathcal{R} = \{R_1, \dots, R_k\}$ is called an *f-monochromatic partition (into rectangles)* if each R_i is *f-monochromatic*, and each $(x, y) \in X \times Y$ is contained in exactly one R_i .

$$C^{\text{partition}}(f) = \min\{|\mathcal{R}| : \mathcal{R} \text{ is an } f\text{-monochromatic partition}\}$$

Lemma 20. For any protocol tree T , the rectangles $\{R : v \text{ is a leaf in } T\}$ are an *f-monochromatic partition*.

$$\text{Lemma 21. } C^{\text{partition}}(f) \leq \min_{\text{protocol tree } T} |\text{number of leaves in } T|$$

Lemma 22. $D(f) \geq \lceil \log_2 C^{\text{partition}}(f) \rceil$

A *fooling set* $S \subseteq X \times Y$ is a set where all points $(x, y) \in S$ have the same value $f(x, y) = z$, and for any distinct points (x, y) and (x', y') in S , either $f(x, y') \neq z$ or $f(x', y) \neq z$.

Lemma 23. $C^{\text{partition}}(f) \geq |S| + 1$, where S is a fooling set for f

Lemma 24. $D(f) \geq \lceil \log_2(|S| + 1) \rceil$, where S is a fooling set for f

Lemma 25. $D(EQ_n) = D(GTE_n) = D(DISJ_n) = n + 1$

Model for *non-deterministic communication complexity*:

- Function $f : X \times Y \rightarrow Z$ is known to all
- Bob does not know x , Alice does not know y
- Alice and Bob do not communicate
- Alice tries to force Alice and Bob to accept by sending certificate z . How short can z be?

$$N(f) = \min_{\text{nondet protocol}} (\text{length of cert}).$$

Or, $N(f) = \min\{k\}$ such that there exist A and B , for all $x \in X$, $y \in Y$, $f(x, y) = 1 \Rightarrow \exists z \in \{0, 1\}^k, A(x, z) = 1 \wedge B(y, z) = 1$, $f(x, y) = 0 \Rightarrow \forall z \in \{0, 1\}^k, A(x, z) = 0 \vee B(y, z) = 0$.

Lemma 26. $N(\neg DISJ_n) \leq \log n$

Lemma 27. For all f , $D(f) = D(\neg f)$.

Lemma 28. $N(\neg EQ_n) \leq \log(n) + 1$

Lemma 29. Let S be a fooling set where $f(x, y) = 1$ for all $(x, y) \in S$. Then $N(f) \geq \lceil \log_2(|S|) \rceil$.

Lemma 30. $N(EQ_n) \geq n$

The set $\mathcal{R} = \{R_1, \dots, R_k\}$ is a cover of the 1-entries (by rectangles) if (1) each R_i is a rectangle containing only 1s, and (2) every $(x, y) \in X \times Y$ with $f(x, y) = 1$ is contained in at least one R_i .

$$C^{1\text{-cover}}(f) = \min\{|\mathcal{R}| : \mathcal{R} \text{ is a cover of the 1-entries}\}$$

$$C^{0\text{-cover}}(f) = C^{1\text{-cover}}(\neg f).$$

Lemma 31. $C^{\text{partition}}(f) = C^{1\text{-cover}}(f) + C^{0\text{-cover}}(f)$.

Lemma 32. $N(f) = \lceil \log_2(C^{1\text{-cover}}(f)) \rceil$

Lemma 33. $D(f) \geq N(f)$

Lemma 34. $D(\neg f) = N(f)$

Theorem 21. Let $f : X \times Y \rightarrow \{0, 1\}$ be arbitrary, C_0 be a cover of the 0-entries, and C_1 be a cover of the 1-entries. Then $D(f) = O(\log C_0 * \log C_1)$.

Lemma 35. $D(f) = O(N(f) * N(\neg f))$